

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re Application of:

Sargent et al

Serial No. 09/470,850

Filed: 12/23/99

For: PRODUCTION OF RECOMBINANT
ORGANISMS



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on April 12, 2000.

Signed:

Maria Ciganovich

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D. C. 20231

Sir:

In response to the Notice to File Missing Parts of Application dated February 14, 2000, enclosed herewith is the executed Declaration and a copy of the Notice. Also enclosed is applicant's Power of Attorney by Assignee and Verified Statement Claiming Small Entity Status.

The filing fee has been calculated as follows:

	(Col. 1)		(Col. 2)	SMALL ENTITY	
	NO. FILED		NO. EXTRA	RATE	FEE
BASIC FEE					\$ 380
TOTAL CLAIMS	43	- 20 =	23	x \$ 9 =	\$ 207
INDEP CLAIMS	4	- 3 =	1	x \$ 39 =	\$ 39
MULTIPLE DEPENDENT CLAIM PRESENTED		→		+ \$ 130 =	\$ 130
If the difference in Col 1 is less than zero, enter "0" in Col. 2				TOTAL	\$ 756

Enclosed is our check in the amount of \$821 comprising the filing fee and the surcharge. Please debit any underpayment or credit any overpayment to our Deposit Account No. 06-1300 (Order No. A-68342-1/RMS/DSS).

Respectfully submitted,

Robin M. Silva

Robin M. Silva
Registration No. 38,304
Attorney for Applicant

FLEHR HOHBACH TEST,
ALBRITTON & HERBERT LLP
Four Embarcadero Center, Suite 3400
San Francisco, CA 94111
(415) 781-1989

A 68342-1

RFT RMS, DSS



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

4/14/2000

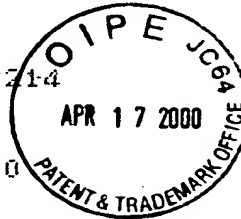
Missing Parts

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/470,859 12/23/99 SARGENT

R A-68342-1/RM

0262/0214
FLEHR HOHBACH TEST ALBRITTON
& HERBERT LLP
FOUR EMBARCADERO CENTER SUITE 3400
SAN FRANCISCO CA 94111-4187



1632

DATE MAILED:

02/14/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1382.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 414 for 23 total claims over 20.

\$ 78 for 1 independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

04/18/2000 ASAHLE 00000092 09470859
01 380.00 OP
02 39.00 OP
03 207.00 OP
04 130.00 OP
05 65.00 OP